

## FORM (02) KYC/ DUE DILIGENCE

General Notes as at service requested:		ملاحظات عامة عند طلب الخدمة:
Subject:		الموضوع:
Jurisdiction:		الاختصاص:

This form of Mandatory Enhanced Due Diligence (EDD) shall be provided and completed by any prospective party or client desiring transactions with our entity. It is an integral part of compliance requirements with rules and regulations of the state. Information provider unequivocally, unconditionally, and irrevocably agrees that he shall be -at all times- liable for the integrity and accuracy of such information represented for commercial and or economic transactions purposes.

1. UAE: <https://www.economy.gov.ae/English/pages/aml.aspx>
2. UAE: <https://www.uaieic.gov.ae/en-us/un-page?p=2#>
3. USA: <https://sanctionssearch.ofac.treas.gov/>
4. USA: <https://www.federalregister.gov/agencies/industry-and-security-bureau>
5. United Nations: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>
6. United Nations: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>

Having Perused the Ministry of Economy issued Circular No. (1) of 2023 regarding updating the list of high-risk countries for strict monitoring and updating the measures to be applied by Designated Non-Financial Business & Professions:

Please refer to the below provided link to view list of jurisdictions under increased monitoring (**Grey List**)

<https://www.namlcftc.gov.ae/ar/more/jurisdictions/countries-under-increased-monitoring/>

Albania | Barbados | Burkina Faso | Cambodia | Cayman Islands | Democratic Republic of the Congo | Gibraltar | Haiti | Jamaica | Jordan | Mali | Morocco | Mozambique | Nigeria | Panama | Philippines | Senegal | South Africa | South Sudan | Syria | Tanzania | Türkiye | Uganda | Yemen

Please refer below link to view list of jurisdictions under High Risk (**Blacklist**)

<https://www.namlcftc.gov.ae/ar/more/jurisdictions/high-risk-countries/>

Democratic Republic of Korea | Iran | Myanmar

### High Risk Jurisdictions (The Blacklist):

We shall apply enhanced due diligence measures to all business relationships and transactions with jurisdictions on the Blacklist, including natural persons and legal entities and those acting on their behalf, in addition to the countermeasures listed below:

1. Not to establish any branches or representative offices within jurisdictions on the Blacklist.
2. Comply with the internal reporting mechanisms on monitoring transactions and activities pertaining to jurisdictions on the Blacklist and submit suspicious transaction reporting to the FIU where relevant, using the existing template pertaining to jurisdictions on the Blacklist reports in GoAML (High Risk Jurisdiction and High-Risk Jurisdiction Activity).
3. Not relying on third parties located in jurisdictions on the Blacklist to perform their due diligence procedures.
4. Comply with the implementation of targeted financial sanctions requirements, in accordance with applicable UN Security Council Resolutions and CABINET DECISION NO. (74) of 2020.

**Step-2 Screen:** Undertake regular and ongoing screening on the latest Local Terrorist List and UN Consolidated list.

Screening must be undertaken in the following circumstances:

1. Upon any updates to the Local Terrorist List or UN Consolidated List. In such cases, screening must be conducted immediately and without delay to ensure compliance with implementing freezing measures without delay (within 24 hours).
2. Prior to onboarding new customers.
3. Upon KYC reviews or changes to a customer's information.
4. Before processing any transaction.




**Stakeholders shall:**

1. Not at any time be an UNAUTHORIZED PARTY under United States Law
2. Not at any time be included on
  - 2.1. The United States Commerce Department’s Denied Persons List,
  - 2.2. Entity List,
  - 2.3. Unverified List.
  - 2.4. The United States Treasury Department’s List of Specially Designated Nationals List,
  - 2.5. Sectorial Sanctions List,
  - 2.6. The United States Department’s List of Debarred Parties,

Stakeholders Not at any time be owned or controlled by a restricted party and are not acting as agent for or otherwise on behalf of a restricted party.

It shall be considered an integral part of this procedure, the evaluation of the client’s compliance with the Cabinet Resolution No. (109) of 2023 on The Regulation of Ultimate Beneficial Owner Procedures.

We are prohibited from establishing or maintaining any business relationship or executing any transaction with any person should they be unable to undertake Customer Due Diligence (CDD) measures towards the Customer.

		TFS Measures	Reporting Process
 Confirmed Match	Existing Customer	Freeze Assets without delay Prohibit making Funds / Services available	Submit FFR Via goAML within 5 days
	Potential Customer	Reject customer	Submit FFR Via goAML within 5 days
 Partial Name Match	Any Customer	Suspend all Transaction without delay Prohibit making Funds / Services available	Submit PNMR Via goAML within 5 days
 NO Match	Any Customer	No further action is required	No Report Required

Date:  
Reference:

**From:**  
**COMPANY NAME**  
Title  
Name  
Email:  
The Client

**To:**  
**PREDATOR AVIATION SERVICES LLC**  
Sole Proprietorship Limited Liability Company  
The Manager  
Dr. Yasser Kaddoura  
[info@predator.aero](mailto:info@predator.aero)

**Subject:** Information represented for compliance with requirements of commercial and or economic transactions purposes. (KYC/ Due Diligence).

For and on behalf of (00),  
I (00) in my personal capacity and in whatsoever capacity hereby certify that the above information and the documents attached are true and correct. I hereby agree and acknowledge that this KYC / Due Diligence Questionnaire forms is an integral part for the signing of a business relationship between (00) and (00) and shall update (00) promptly in writing if any of the above information changes.

1. Legal Name:		الاسم القانوني
2. Short Business Name:		الاسم المختصر
3. License No.:		رقم الرخصة
4. Comm. Registration No.:		رقم التسجيل التجاري
5. Confirmed Match:		المطابقة المؤكدة
6. Partial Name Match Report (PNMR):		تقرير مطابقة الاسم الجزئي :(PNMR)
7. TFS/PFS- Domestic list Targeted Financial Sanctions		
8. TFS/PFS- UNSCRs Targeted Financial Sanctions United Nations Security Council Resolution		
9. STR/SAR Suspicious Transaction Suspicious Activity Report		
10. Any Antitrust Issues		حالات الاحتكار
11. Any Regulatory Issues		حالات تنظيمية
12. Funds Freeze Report (FFR):		
13. State of Incorporation		دولة التأسيس
14. Type of Legal Entity		الشكل القانوني
15. goAML Registration No.:		رقم التسجيل بنظام goAML
16. Tax Reg. No.:		رقم السجل الضريبي
17. Organization's Code:		رمز السجل
18. Date of Incorporation		تاريخ التأسيس
19. Main Economic Activity(ies)		النشاط الاقتصادي
20. Other Economic Activity(ies)		نشاطات اقتصادية أخرى

21. Active Years in Business		السنوات الفعالة في النشاط
22. Current Business Status		حالة الرخصة
23. Number of Offices Worldwide		عدد المكاتب عالمياً
24. Number of Employees Worldwide		عدد الموظفين عالمياً
25. Fortune 500 Ranking		تصنيف Fortune 500
26. Total Revenue 2020		
27. Total Revenue 2021		
28. Total Revenue 2022		
29. Net Profit 2022		
30. Total Revenue 2023 Forecast		
31. Net Profit 2023 Forecast		
32. Allocated Capital for prospected Project:		
33. Financial Viability to complete/comply with the project		
34. Position on the Joint Ventures		
35. Position on Subsidiary / Nascent		حالة الفروع والشركات الناشئة
36. Website:		الموقع الإلكتروني
37. E-Mail (General)		الإيميل الرسمي
38. (If Gmail/Hotmail or other than a corporate email) Why no corporate email?		
39. Legal Address:		العنوان القانوني
40. City / State:		المدينة / الدولة
41. Mobile 1:		الجوال 1:
42. Mobile 2:		الجوال 2:
43. Phone:		الهاتف:
44. Personal E-Mail:		الإيميل الشخصي
45. YouTube Channel:		قناة يوتيوب
46. The Entity(ies) or Owner(s) or Partner(s) or Directors or Managers or Staff Currently or formerly targeted by/ subject to US or Foreign Restricted Person or Sanctions List or by other such Government List.		الكيان (الكيانات) أو المالك (الملاك) أو الشريك (الشركاء) أو المديرين، أو المدبرين، أو الموظفين المستهدفون حالياً، أو سابقاً من قبل / الخاضعين لقائمة عقوبات، أو قائمة عقوبات أمريكية، أو أجنبية، أو قائمة حكومية أخرى.
47. Is any of the Owner(s) or Partner(s) or Directors or Managers or Staff a current or former employee of (00)?		هل أي من المالك (الملاك) أو الشريك (الشركاء) أو المديرين، أو المدبرين، أو الموظفين، موظف حالي أو سابق في (00)؟
48. Is any of the Owner(s) or Partner(s) or Directors or Managers or Staff a relative to any Owner(s) or Partner(s) or Directors or Managers or Staff of (00)?		هل أي من المالك (الملاك) أو الشريك (الشركاء) أو المديرين أو المدبرين أو الموظفين قريب لأي مالك (ملاك) أو شريك (شركاء) أو مديرين أو مدبرين أو موظفين ل (00)؟
49. Is or has any of the Owner(s) or Partner(s) or		هل قام أي من المالك (الملاك) أو الشريك (الشركاء) أو المديرين أو

Directors or Managers or Staff conducted business with (OO) or their relatives?		المديرين أو الموظفين بأعمال تجارية مع (OO) أو أقاربهم؟
50. License Director/ Manager		مدير الرخصة
A. Name:		الاسم
Politically Exposed Person In the UAE In the GCC In Other States:		شخص منكشف سياسياً في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
B. Title		الصفة
C. E-Mail		الإيميل
D. Mobile 1:		الجوال 1:
E. Nationality		الجنسية
F. Passport No.		رقم جواز السفر
G. Issued in (Country):		صدر في (الدولة):
H. Issue Date:		تاريخ الإصدار:
I. Expiry Date:		تاريخ الانتهاء:
J. ID No.		رقم الهوية
K. Unified No.		الرقم الموحد
51. Ownership- Public Company	If Publicly Traded or Wholly Owned Subsidiary of a Public Company, please indicate: (1) Parent Name: (2) Listing Exchange: (3) Ticker Symbol: (4) Proof of subsidiary ownership:	الملكية- شركة عامة
52. Ownership- Private Entities Entities with ≥10% ownership in the Entity	Identify all Entities (shareholders, partners, etc.) that separately or if related in the aggregate hold ≥10% ownership in the Entity listed in (1) above. Please provide the following information for each: (1) Percentage % Ownership: (2) Company Name, Address, Telephone	
53. Ownership- Individuals Individuals with ≥10% ownership in the Entity	Identify all Individuals that separately or if related in the aggregate hold ≥10% ownership in the Entity listed in (1) above. Provide the following information for each: (1) Percentage % Ownership (2) Name, Address, Telephone (3) Brief statement on the individual's source of wealth (4) Legible copy of government-issued photo identification (e.g., passport, driver's license, visa, etc.)	الملكية- شركة أشخاص

The Manager acknowledges and affirms, with full personal, legal and institutional responsibility, that he/she is not subject to any decision to restrict freedom, prohibition program, or administrative or economic prohibition of any kind or degree targeting persons, characteristics, geographical regions, or governments. He/She also certifies that He/She/It is not under any freeze, seizure, or prohibition of a specific disposal of the property.

Partner / Shareholder (1)		الشريك / المساهم (1)
Name:		الاسم
Citizenship		الجنسية
Date of Birth		تاريخ الميلاد
Place of Birth		مكان الميلاد
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title		الصفة
<b>Passport / ID No.:</b>		<b>رقم جواز السفر / بطاقة الهوية</b>
▪ State of Issuance		▪ دولة الإصدار
▪ Date of Issuance		▪ تاريخ الإصدار
▪ Date of Expiry		▪ تاريخ انتهاء الصلاحية
Address & State of Residence		العنوان ومكان الإقامة
Name of Employer		اسم جهة العمل
Address & State of Work		عنوان جهة العمل
E-Mail		الإيميل
Mobile 1:		الجوال 1:
Nationality		الجنسية
Passport No.		رقم جواز السفر
ID No.		رقم الهوية
Unified No.		الرقم الموحد
<b>Number of Shares or Stocks</b>		<b>عدد الحصص أو الأسهم</b>
Classification of Shares or Stocks		فئات الحصص أو الأسهم
Voting rights related to it		حقوق التصويت المرتبطة بها
The date of acquisition of the capacity of Partner or Shareholder.		تاريخ اكتساب صفة الشريك أو المساهم
<b>Ultimate Beneficial Owner</b>	The Partner / Shareholder is the Ultimate Beneficial Owner	<b>المستفيد الحقيقي</b>
▪ The basis on which he/she became an Ultimate Beneficial Owner.		▪ الأساس الذي أصبح بموجبه مستفيداً حقيقياً.
▪ The date of acquisition of the capacity of the Ultimate Beneficial Owner.		▪ تاريخ اكتساب صفة المستفيد الحقيقي.
▪ The date of discontinuation of the capacity of the Ultimate Beneficial Owner.		▪ تاريخ انقطاع صفة المستفيد الحقيقي
▪ Issuing bearer share guarantees	No bearer shares guarantee issued	▪ إصدار ضمانات أسهم لحاملها

Each of the partners acknowledges and affirms, with full personal, legal and institutional responsibility, that he/she/it is not subject to any decision to restrict freedom, prohibition program, or administrative or economic prohibition of any kind or degree targeting persons, characteristics, geographical regions, or governments. He/She/It also certifies that He/She/It is not under any freeze, seizure, or prohibition of a specific disposal of the property.

Partner / Shareholder (2)		الشريك / المساهم (2)
Name:		الاسم
Citizenship		الجنسية
Date of Birth		تاريخ الميلاد
Place of Birth		مكان الميلاد
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title		الصفة
<b>Passport / ID No.:</b>		<b>رقم جواز السفر / بطاقة الهوية</b>
▪ State of Issuance		▪ دولة الإصدار
▪ Date of Issuance		▪ تاريخ الإصدار
▪ Date of Expiry		▪ تاريخ انتهاء الصلاحية
Address & State of Residence		العنوان ومكان الإقامة
Name of Employer		اسم جهة العمل
Address & State of Work		عنوان جهة العمل
E-Mail		الإيميل
Mobile 1:		الجوال 1:
Nationality		الجنسية
Passport No.		رقم جواز السفر
ID No.		رقم الهوية
Unified No.		الرقم الموحد
<b>Number of Shares or Stocks</b>		<b>عدد الحصص أو الأسهم</b>
Classification of Shares or Stocks		فئات الحصص أو الأسهم
Voting rights related to it		حقوق التصويت المرتبطة بها
The date of acquisition of the capacity of Partner or Shareholder.		تاريخ اكتساب صفة الشريك أو المساهم
<b>Beneficial Owner</b>	The Partner / Shareholder is the Ultimate Beneficiary Owner	<b>المستفيد الحقيقي</b>
▪ The basis on which he/she became an Ultimate Beneficiary Owner.		▪ الأساس الذي أصبح بموجبه مستفيداً حقيقياً.
▪ The date of acquisition of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ اكتساب صفة المستفيد الحقيقي.
▪ The date of discontinuation of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ انقطاع صفة المستفيد الحقيقي
▪ Issuing bearer share guarantees	No bearer shares guarantee issued	▪ إصدار ضمانات أسهم لحاملها

Each of the partners acknowledges and affirms, with full personal, legal and institutional responsibility, that he/she/it is not subject to any decision to restrict freedom, prohibition program, or administrative or economic prohibition of any kind or degree targeting persons, characteristics, geographical regions, or governments. He/She/It also certifies that He/She/It is not under any freeze, seizure, or prohibition of a specific disposal of the property.

Partner / Shareholder (3)		الشريك / المساهم (3)
Name:		الاسم
Citizenship		الجنسية
Date of Birth		تاريخ الميلاد
Place of Birth		مكان الميلاد
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title		الصفة
<b>Passport / ID No.:</b>		<b>رقم جواز السفر / بطاقة الهوية</b>
▪ State of Issuance		▪ دولة الإصدار
▪ Date of Issuance		▪ تاريخ الإصدار
▪ Date of Expiry		▪ تاريخ انتهاء الصلاحية
Address & State of Residence		العنوان ومكان الإقامة
Name of Employer		اسم جهة العمل
Address & State of Work		عنوان جهة العمل
E-Mail		الإيميل
Mobile 1:		الجوال 1:
Nationality		الجنسية
Passport No.		رقم جواز السفر
ID No.		رقم الهوية
Unified No.		الرقم الموحد
<b>Number of Shares or Stocks</b>		<b>عدد الحصص أو الأسهم</b>
Classification of Shares or Stocks		فئات الحصص أو الأسهم
Voting rights related to it		حقوق التصويت المرتبطة بها
The date of acquisition of the capacity of Partner or Shareholder.		تاريخ اكتساب صفة الشريك أو المساهم
<b>Beneficial Owner</b>	The Partner / Shareholder is the Ultimate Beneficiary Owner	<b>المستفيد الحقيقي</b>
▪ The basis on which he/she became an Ultimate Beneficiary Owner.		▪ الأساس الذي أصبح بموجبه مستفيداً حقيقياً.
▪ The date of acquisition of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ اكتساب صفة المستفيد الحقيقي.
▪ The date of discontinuation of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ انقطاع صفة المستفيد الحقيقي
▪ Issuing bearer share guarantees	No bearer shares guarantee issued	▪ إصدار ضمانات أسهم لحاملها

Each of the partners acknowledges and affirms, with full personal, legal and institutional responsibility, that he/she/it is not subject to any decision to restrict freedom, prohibition program, or administrative or economic prohibition of any kind or degree targeting persons, characteristics, geographical regions, or governments. He/She/It also certifies that He/She/It is not under any freeze, seizure, or prohibition of a specific disposal of the property.



Partner / Shareholder (4)		الشريك / المساهم (4)
Name:		الاسم
Citizenship		الجنسية
Date of Birth		تاريخ الميلاد
Place of Birth		مكان الميلاد
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title		الصفة
<b>Passport / ID No.:</b>		<b>رقم جواز السفر / بطاقة الهوية</b>
▪ State of Issuance		▪ دولة الإصدار
▪ Date of Issuance		▪ تاريخ الإصدار
▪ Date of Expiry		▪ تاريخ انتهاء الصلاحية
Address & State of Residence		العنوان ومكان الإقامة
Name of Employer		اسم جهة العمل
Address & State of Work		عنوان جهة العمل
E-Mail		الإيميل
Mobile 1:		الجوال 1:
Nationality		الجنسية
Passport No.		رقم جواز السفر
ID No.		رقم الهوية
Unified No.		الرقم الموحد
<b>Number of Shares or Stocks</b>		<b>عدد الحصص أو الأسهم</b>
Classification of Shares or Stocks		فئات الحصص أو الأسهم
Voting rights related to it		حقوق التصويت المرتبطة بها
The date of acquisition of the capacity of Partner or Shareholder.		تاريخ اكتساب صفة الشريك أو المساهم
<b>Beneficial Owner</b>	The Partner / Shareholder is the Ultimate Beneficiary Owner	<b>المستفيد الحقيقي</b>
▪ The basis on which he/she became an Ultimate Beneficiary Owner.		▪ الأساس الذي أصبح بموجبه مستفيداً حقيقياً.
▪ The date of acquisition of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ اكتساب صفة المستفيد الحقيقي.
▪ The date of discontinuation of the capacity of the Ultimate Beneficiary Owner.		▪ تاريخ انقطاع صفة المستفيد الحقيقي
▪ Issuing bearer share guarantees	No bearer shares guarantee issued	▪ إصدار ضمانات أسهم لحاملها

Each of the partners acknowledges and affirms, with full personal, legal and institutional responsibility, that he/she/it is not subject to any decision to restrict freedom, prohibition program, or administrative or economic prohibition of any kind or degree targeting persons, characteristics, geographical regions, or governments. He/She/It also certifies that He/She/It is not under any freeze, seizure, or prohibition of a specific disposal of the property.

The Designated person authorized to declare, submit all date or information may be required by applicable laws:

The designated person authorized for disclosures		الشخص المعين المخول بالإفصاح
Name:		الاسم
Citizenship		الجنسية
Date of Birth		تاريخ الميلاد
Place of Birth		مكان الميلاد
Politically Exposed Person		شخص منكشف سياسياً
Title		الصفة
Passport / ID No.:		رقم جواز السفر / بطاقة الهوية
▪ State of Issuance		▪ دولة الإصدار
▪ Date of Issuance		▪ تاريخ الإصدار
▪ Date of Expiry		▪ تاريخ انتهاء الصلاحية
Address & State of Residence		العنوان ومكان الإقامة
Name of Employer		اسم جهة العمل
Address & State of Work		عنوان جهة العمل
E-Mail		الإيميل
Mobile 1:		الجوال 1:
Nationality		الجنسية
Passport No.		رقم جواز السفر
ID No.		رقم الهوية
Unified No.		الرقم الموحد

#### Bank Details

Beneficiary Name	
Beneficiary Bank name	
Beneficiary Bank address	
Beneficiary Bank branch	
Beneficiary account AED	
Beneficiary IBAN (AED)	
Beneficiary account USD	
Beneficiary IBAN (USD)	
Beneficiary account EUR	
Beneficiary IBAN (EUR)	
Beneficiary bank S.W.I.F.T. Code	

### Trade reference 01

Legal Name:		الاسم القانوني
State of Incorporation		دولة التأسيس
Active Years in Business		السنوات الفعالة في النشاط
Current Business Status		حالة الرخصة
Type of Legal Entity		الشكل القانوني
Number of Offices Worldwide		عدد المكاتب عالمياً
Website:		الموقع الإلكتروني
E-Mail (General)		الإيميل الرسمي
Legal Address:		العنوان القانوني
City / State:		المدينة / الدولة
Mobile 1:		الجوال 1:
Mobile 2:		الجوال 2:
Phone:		الهاتف:
<b>License Manager</b>		<b>مدير الرخصة</b>
Name:		الاسم
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title:		الصفة
E-Mail:		الإيميل
Mobile:		الجوال 1:

### Trade reference 02

Legal Name:		الاسم القانوني
State of Incorporation		دولة التأسيس
Active Years in Business		السنوات الفعالة في النشاط
Current Business Status		حالة الرخصة
Type of Legal Entity		الشكل القانوني
Number of Offices Worldwide		عدد المكاتب عالمياً
Website:		الموقع الإلكتروني
E-Mail (General)		الإيميل الرسمي
Legal Address:		العنوان القانوني
City / State:		المدينة / الدولة
Mobile 1:		الجوال 1:
Mobile 2:		الجوال 2:
Phone:		الهاتف:
<b>License Manager</b>		<b>مدير الرخصة</b>
Name:		الاسم
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title:		الصفة
E-Mail:		الإيميل
Mobile:		الجوال 1:

### Trade reference 03

Legal Name:		الاسم القانوني
State of Incorporation		دولة التأسيس
Active Years in Business		السنوات الفعالة في النشاط
Current Business Status		حالة الرخصة
Type of Legal Entity		الشكل القانوني
Number of Offices Worldwide		عدد المكاتب عالمياً
Website:		الموقع الإلكتروني
E-Mail (General)		الإيميل الرسمي
Legal Address:		العنوان القانوني
City / State:		المدينة / الدولة
Mobile 1:		الجوال 1:
Mobile 2:		الجوال 2:
Phone:		الهاتف:
<b>License Manager</b>		<b>مدير الرخصة</b>
Name:		الاسم
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title:		الصفة
E-Mail:		الإيميل
Mobile:		الجوال 1:

### Trade reference 04

Legal Name:		الاسم القانوني
State of Incorporation		دولة التأسيس
Active Years in Business		السنوات الفعالة في النشاط
Current Business Status		حالة الرخصة
Type of Legal Entity		الشكل القانوني
Number of Offices Worldwide		عدد المكاتب عالمياً
Website:		الموقع الإلكتروني
E-Mail (General)		الإيميل الرسمي
Legal Address:		العنوان القانوني
City / State:		المدينة / الدولة
Mobile 1:		الجوال 1:
Mobile 2:		الجوال 2:
Phone:		الهاتف:
<b>License Manager</b>		<b>مدير الرخصة</b>
Name:		الاسم
<b>Politically Exposed Person</b> In the UAE In the GCC In Other States:		<b>شخص منكشف سياسياً</b> في الإمارات العربية المتحدة في مجلس التعاون الخليجي في دول أخرى
Title:		الصفة
E-Mail:		الإيميل
Mobile:		الجوال 1:

Required / Mandatory Documents	المستندات المطلوبة:
1. Memorandum of Association	1. عقد التأسيس
2. All amendments to MOA	2. كافة التعديلات على عقد التأسيس
3. Business License (Valid)	3. الرخصة (سارية)
4. Certificate of Share Holders	4. شهادة الأسهم
5. Certificate of Good Standing	5. شهادة باستمرارية الرخصة
6. Certificate of Incumbency	6. شهادة بحالة ونشاط الرخصة والمدير والمسؤولين فيها
7. Tax Registration No. (INN) (ИНН)	7. شهادة السجل الضريبي
8. List of all subsidiaries	8. قائمة بكافة الفروع والرخص المرتبطة
9. Proof of Offices Worldwide	9. دليل إثبات التواجد العالمي
10. ID/Passport Copy of All Shareholders	10. صور هويات/جوازات المساهمين
11. ID/Passport Copy of Manager	11. صورة هوية/جواز المدير
12. Proof of Asset(s) Ownership.	12. إثبات ملكية الأصول
13. Board Resolution on the matter of Transaction (Ratified)	13. محضر قرار مجلس إدارة بشأن موضوع الإجراء والتصريف (مصادق عليه)
14. All Contract / Agreement	14. كافة العقود/ الاتفاقيات موضوع النزاع
15. All amendments to Contract / Agreement	15. كافة التعديلات على العقود/ الاتفاقيات موضوع النزاع
16. Bank Transfer Slips received from the Opponent.	16. صور التحويلات البنكية الواردة من الخصم
17. Bank Transfer Slips Sent to the Opponent	17. صور التحويلات البنكية الصادرة إلى الخصم
18. Any Guarantee Agreement(s)	18. أي اتفاقية ضمان
19.	19.

Missing Documents:	نواقص المستندات
	1.
	2.
	3.
	4.
	5.

This is to classify the Client according to risks to  
(high risk customer, medium risk customer, low risk customer)









Signature:

Name:

Title:

Date:

# ESSENTIAL ELEMENTS OF AML/CFT POLICY IN UAE

	<p><b>1. RISK IDENTIFICATION</b></p> <p>1.1. Internal inputs, external factors, and ML/FT/PF trends and typologies considered for risk identification.</p> <p>1.2. Identified ML/FT/PF Risk vs. Management's risk appetite.</p> <p>1.3. likelihood of occurrence, timing, and impact.</p>
	<p><b>2. RISK MITIGATION</b></p> <p>2.1. Risk rating methodologies, classification, and Prioritization of the risk</p> <p>2.2. ML/FT/PF control measures</p>
	<p><b>3. CUSTOMER ONBOARDING</b></p> <p>3.1. KYC, Screening and Risk profiling.</p> <p>3.2. Documentation to be obtained and verified.</p> <p>3.3. On-going monitoring policy - Review and updating of CDD information.</p> <p>3.4. Customer Acceptance and Exit Policy.</p>
	<p><b>4. SUSPICIOUS TRANSACTIONS</b></p> <p>4.1. What are the red flags?</p> <p>4.2. Policy for updating red flags.</p> <p>4.3. Internal mechanism for identification, reporting, and investigation of suspicious transaction.</p> <p>4.4. Results of internal analysis, decision, and rationale behind the decision</p>
	<p><b>5. REPORTING</b></p> <p>5.1. Log of internal investigation done.</p> <p>5.2. Policy around tipping off.</p> <p>5.3. STR/SAR filed with UAE FIU and other reporting requirements.</p> <p>5.4. Instructions received from UAE FIU and DNFBP's action against the same.</p>
	<p><b>6. RECORD KEEPING</b></p> <p>6.1. What all records to be maintained pertaining to AML/CFT.</p> <p>6.2. The format for data maintenance, period, access rights, etc.</p> <p>6.3. Archival &amp; disposal policy.</p>
	<p><b>7. GOVERNANCE</b></p> <p>7.1. Compliance Officer's roles &amp; responsibilities.</p> <p>7.2. Employees' training and development.</p> <p>7.3. Senior management's roles &amp; responsibilities.</p> <p>7.4. Independent Audit function.</p>
	<p><b>8. TARGETED FINANCIAL SANCTIONS</b></p> <p>8.1. TFS and other International Sanctions.</p> <p>8.2. Sanctions screening and Alert Management.</p> <p>8.3. Reporting requirement.</p>

For the avoidance of (Doubt) and (Misinterpretation),

1. Start-up verification and assessment.  
UAE: <https://www.economy.gov.ae/English/pages/aml.aspx>  
UAE: <https://www.uaieic.gov.ae/en-us/un-page?p=2#>  
USA: <https://sanctionssearch.ofac.treas.gov/>  
USA: <https://www.federalregister.gov/agencies/industry-and-security-bureau>  
United Nations: <https://www.un.org/securitycouncil/content/un-sc-consolidated-list>  
United Nations: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals>

**Salient Points of Review:**

---

1. If subject is listed on World Check/Intelligence database.
2. If subject is dealing with individuals/entities that are listed on World Check/Intelligence database (State, the name of all entities along with UID No. and reason for being on World Check/Intelligence database).
3. Results of external searches/ public domain.
4. Movement and dissipation of suspicious funds.
5. Highlight the suspected Money Laundering stage/ predicate offense / any other suspected crimes.
6. Transaction's pattern, Modus-operandi.
7. Explanations/ Justifications/ Documentary evidence provided by the subject(s).
8. Other Banks accounts known to be held be the subject(s).
9. If account is closed, provide details of ultimate destination of funds and amount.

**Any Grounds of Suspicion:**

---

1. Reason

**Any Reasons for Reporting (RFR):**

---

1. Reason
2. Politically Exposed Persons (PEPs) and individuals previously entrusted with prominent functions at international organisations whether are Customers or Beneficial Owners shall declare source of Funds / Chattels / Assets / Properties.
3. The Client shall present originals and/ or true copies -as might be required by law- of all available supporting documents or copies that may be necessary to perform the tasks of the Service Provider. The Client shall have the sole responsibility of the authenticity of such documents.
4. It shall be considered as a deliberate attempt of **Deception**: Any use fraudulent means either by word of mouth or by conducting an act or abstention to induce the other party to enter a contract or any deliberate omission of a certain incident or circumstance in information if it is proved that party would not have entered the contract should he became aware thereof.
5. It shall be considered as a deliberate attempt of **Commercial Fraud**: Any Deception by any means whether by changing or altering in information or goods or their amount or nature or price or their material description or origin or source or fitness or any other matter related thereto, or presenting untrue or misleading trading information on the promoted Services or products, which include fraud, imitation and cheating by conducting service which does not conform with the applicable laws or may include false and misleading statements & representation.
6. **Company Services Providers**: Includes firms aiding in companies' registration and establishing their businesses on behalf of its founding members, assists companies in their partnerships with foreign companies, assisting foreign and free zone companies in finding local partners and agents, provision of secretarial and other related services, it excludes provision of any kind of legal services. It also includes firms, which undertake submitting commercial and personal documents belonged to others to government and non-government bodies and follow up until finalization.
7. Terms & Definitions:
  - a. **UBO**: Ultimate Beneficiary Owner
  - b. **AML / CFT**: Anti-Money Laundering / Countering Financing of Terrorism

- c. **STR:** Suspicious Transaction Report
- d. **SAR:** Suspicious Activity Report
- e. **AIF:** Additional Information File
- f. **RFI:** Request for information
- g. **Report:** Any STR, SAR, AIF or RFI based report.
- h. **FIU:** UAE Financial Intelligence Unit

8.

Signature:

Name:

Title:

Date:



AOC Issuing Authority		
AOC Issuing Date		
AOC Expiry Date		
AOC Operations Specs		
<b>PRESIDENT</b>		
Represented By		
Telephone 1		
Telephone 2		
Mobile		
E-Mail		
<b>DIRECTOR</b>		
Represented By		
Telephone 1		
Telephone 2		
Mobile		
E-Mail		
<b>FLIGHT OPERATIONS MANAGER</b>		
Represented By		
Telephone		
Mobile		
E-Mail		
<b>AIRWORTHINESS MANAGER</b>		
Represented By		
Telephone		
Mobile		
E-Mail		
<b>REFERENTIAL DATA MANAGER</b>		
Represented By		
Telephone		
Mobile		
E-Mail		

	Manufacturer / Model	YOM	MSN	Registration Mark	Current Position	Last MRO Check Purpose & Date	
1.						C/D	Date
2.						C/D	Date
3.						C/D	Date
4.						C/D	Date
5.						C/D	Date
6.							
7.							

Required / Mandatory Documents	المستندات المطلوبة:
1. Air Operator Certificate 2. Operations Specification relevant to the aircraft type, issued in conjunction with the certificate. 3. Certificate of Registration. 4. Certificate of Airworthiness. 5. Noise Certificate 6. Aircraft Radio Station Licence. 7. Insurance Certificate(s), which cover the aircraft, its crew, passengers, and third-party liability clauses. 8. Airworthiness Review Certificates (ARC) if applicable. 9. MRO Certificates. 10. Spare Parts Supply Certificates	

**AIRCRAFTS DESCRIPTION**

AIRCRAFT 1 DATA MSN 00

OWNER		MAX.TAXI WEIGHT	
MANUFACTURER		MAX.GROSS TAKE-OFF WT	
SERIAL NUMBER		MAX. LANDING WEIGHT	
CURRENT REGISTRY		MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE		BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:		MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)			

AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

CERTIFICATE STATUS

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTURER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			

### AIRCRAFTS DESCRIPTION

#### AIRCRAFT 2 DATA MSN 00

OWNER		MAX.TAXI WEIGHT	
MANUFACTURER		MAX.GROSS TAKE-OFF WT	
SERIAL NUMBER		MAX. LANDING WEIGHT	
CURRENT REGISTRY		MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE		BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:		MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)			

#### AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

#### CERTIFICATE STATUS

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

#### AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

#### ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTURER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

#### LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

#### MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			

**AIRCRAFTS DESCRIPTION**

AIRCRAFT 3 DATA MSN 00

OWNER		MAX.TAXI WEIGHT	
MANUFACTURER		MAX.GROSS TAKE-OFF WT	
SERIAL NUMBER		MAX. LANDING WEIGHT	
CURRENT REGISTRY		MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE		BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:		MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)			

AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

CERTIFICATE STATUS

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTURER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			

### AIRCRAFTS DESCRIPTION

#### AIRCRAFT 4 DATA MSN 00

OWNER			MAX.TAXI WEIGHT	
MANUFACTURER			MAX.GROSS TAKE- OFF WT	
SERIAL NUMBER			MAX. LANDING WEIGHT	
CURRENT REGISTRY			MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE			BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:			MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)				

#### AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

#### CERTIFICATE STATUE

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

#### AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

#### ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTUERER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

#### LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

#### MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			

**AIRCRAFTS DESCRIPTION**

AIRCRAFT 5 DATA MSN 00

OWNER		MAX.TAXI WEIGHT	
MANUFACTURER		MAX.GROSS TAKE-OFF WT	
SERIAL NUMBER		MAX. LANDING WEIGHT	
CURRENT REGISTRY		MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE		BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:		MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)			

AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

CERTIFICATE STATUS

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTURER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			

### AIRCRAFTS DESCRIPTION

#### AIRCRAFT 6 DATA MSN 00

OWNER			MAX.TAXI WEIGHT	
MANUFACTURER			MAX.GROSS TAKE- OFF WT	
SERIAL NUMBER			MAX. LANDING WEIGHT	
CURRENT REGISTRY			MAX.ZERO FUEL WEIGHT	
DATE OF MANUFACTURE			BASIC EMPTY WEIGHT:	
FUSAGE NUMBER:			MAINTENANCE EFFECTIVITY CODE:	
PREVIOUS OPERATOR(S)				

#### AIFRAME STATUS

TIME AS OF		MTX. PROGRAM:	
TOTAL AIFRAME HOURS:		MAIN DECK CAN ACCOMMODATE: 00 PALLETS	
CYCLES SINCE NEW			
LAST CHECK ACCOMPLISHED			
NEXT CHECK DUE			

#### CERTIFICATE STATUE

FUEL CAPACITY	00 U.S GALLON	INTERIOR:	FREIGHTER CONFIGURATION
---------------	---------------	-----------	-------------------------

#### AUXILIARY POWER UNIT (APU)

SERIAL NUMBER	TSN	TSO	MODEL

#### ENGIN STATUS

ENGINE TYPE		ENGINE MTX. PROGRAM:	
ENGINE MANUFACTUERER			

Serial Number	Total Time	Total Cycles	TSLSV	CSLSV	LLP Limiting Items	1 <sup>ST</sup> LLP Limit
1						
2						
3						
4						

#### LANDING GEAR

Position	P/N	S/N	Installed Date	TBO	Due Date
NLG					
WING Gear					
BODY Gear					

#### MAJOR AVONICS

Item	Manufacturer	Part Number	Qty.
WEATHER RADAR T/R			
VHF NAV 8.33			
TCAS COMPUTER CHANGE 7			
ATC TRANSPONDER MODE "S" / ELS			
FMS/GPS			
DME RECEIVER			
HF COMM T/R			
EGPWS / WINDSHEAR			
INU			
EMERG LOCAT BEACON			
ADF RECEIVER			
SSCVR			
SSDFDR			
AUTO THROTTLE COMPUTER			
E.H.S.I.			
A.D.I.			